

On the Internal Logic of an Abstract Elementary Class

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Helsinki Logic Seminar - February '22

Universidad Nacional de Colombia / Bogotá

Axiomatizing the un-axiomatized

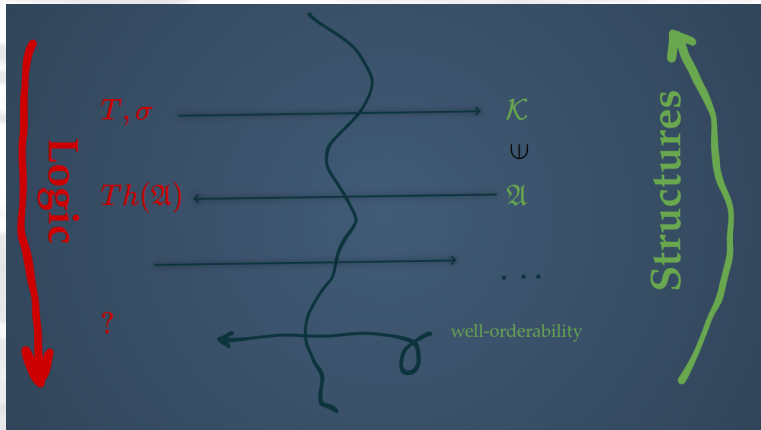
Why so much stability theory in AECs?

Axiomatizing AECs: attempts old and new

On the Internal Logic of an AEC

Axiomatizing the un-axiomatized...

And studying limitations to possible axiomatizations



Given a model class \mathcal{K}

(given as some amalgamation class, or some AEC, or a Fraïssé class, or a Ramsey class, or a Hrushovski-Zilber approximation system. . .

the question of its definability in some logic may be instrumental. . .

Or...

If the Greeks were so attached to geometry, wasn't it that they thought by tracing lines, with no words? However (or maybe just because of that?) [they produced] a perfect axiomatic! Euclid's Postulates, construction. Limiting what one is allowed to trace.

Simone Weil, Cahier III

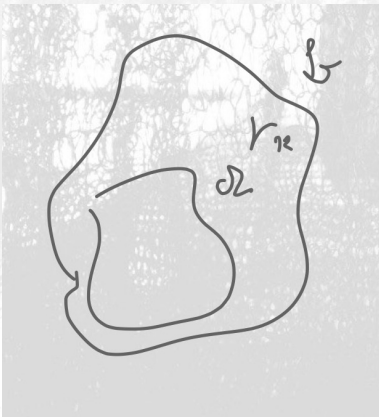
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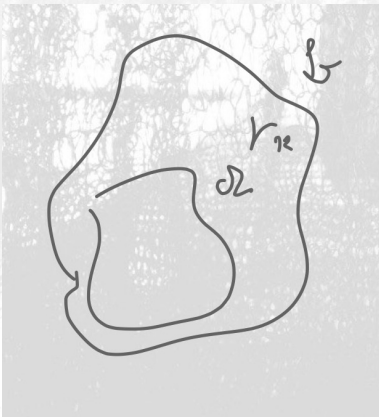
On the Internal Logic of an AEC

AECs: why so much stability theory?



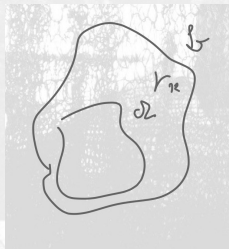
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AECs: why so much stability theory?



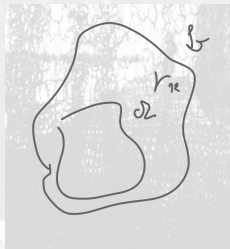
In AECs, we replace from the outset the initial extreme emphasis on φ , T , compactness by more **semantical** notions: $\prec_{\mathcal{K}}$, f a morphism, $f \in \text{Aut}(\mathbb{C})$, etc.

φ
 T
 $T_0 \subseteq^{\text{fin}} T$
 \vdots



φ
 T
 $T_0 \subseteq^{\text{fin}} T$
 \vdots

emphasis shift
towards 1980



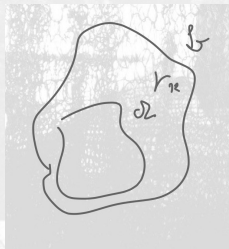
φ

T

$T_0 \subseteq^{\text{fin}} T$

∴ Instead of extracting
 \prec , f , etc. from T, φ ,
we turn \prec , f a strong
embedding into the
primitive notions!

emphasis shift
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φ

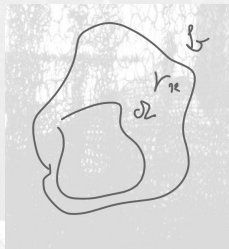
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subgroup
subring
pure subring
strong substructure



φ

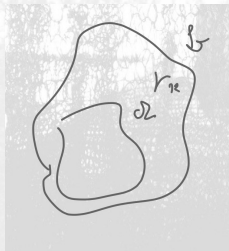
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subgroup
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strong substructure



$A \prec_K B$

“perfect” extension,
algebraically closed,
etc.

AEC - the axioms, briefly

Fix \mathcal{K} be a class of τ -structures, $\prec_{\mathcal{K}}$ a binary relation on \mathcal{K} .

Definition

$(\mathcal{K}, \prec_{\mathcal{K}})$ is an **abstract elementary class** iff

- $\mathcal{K}, \prec_{\mathcal{K}}$ are **closed under isomorphism**,
- $M, N \in \mathcal{K}, M \prec_{\mathcal{K}} N \Rightarrow M \subset N$,
- $\prec_{\mathcal{K}}$ is a partial order,
- **(TV)** $M \subset N \prec_{\mathcal{K}} \bar{N}, M \prec_{\mathcal{K}} \bar{N} \Rightarrow M \prec_{\mathcal{K}} N$,
- **(\searrow LS)** There is some $\kappa = \text{LS}(\mathcal{K}) \geq \aleph_0$ such that for every $M \in \mathcal{K}$, for every $A \subset |M|$, there is $N \prec_{\mathcal{K}} M$ with $A \subset |N|$ and $\|N\| \leq |A| + \text{LS}(\mathcal{K})$,
- **(Unions of $\prec_{\mathcal{K}}$ -chains)** A union of an arbitrary $\prec_{\mathcal{K}}$ -chain in \mathcal{K} belongs to \mathcal{K} , is a $\prec_{\mathcal{K}}$ -extension of all models in the chain and is the sup of the chain.

And really, a lot of examples (and model theory)

Natural constructions in Mathematics are examples of AEC (or metric AEC)

1. Complete first order theories
2. Various classes axiomatizable in $L_{\omega_1, \omega}$ or $L_{\kappa \omega}$.

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1. Complete first order theories
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4. Metric AECs and connections with operator algebras (Hirvonen, Hyttinen)
5. Model Theory of Modules (Mazari-Armida)

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5. Model Theory of Modules (Mazari-Armida)
6. AECs of C^* -algebras (Argoty, Berenstein, V.)
7. Zilber analytic classes (pseudoexponentiation)
8. Classes of ACVF?

And quite a bit of stability theory

Categoricity Transfer

Superstability

Stability (canonical forking)

Simplicity for some AECs

NTP_2 classes? (In process!)

Axiomatizing the un-axiomatized

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Axiomatizing AECs: attempts old and new

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Axiomatizing an AEC: attempts (old and new)

- Shelah, V. 2020,
- Leung 2021.

Fix $(\mathcal{K}, \prec_{\mathcal{K}})$ an AEC with $\text{LS}(\mathcal{K}) = \kappa$. We also assume all models in \mathcal{K} are of cardinality $\geq \kappa$.

Earlier results:

- **Shelah's Presentation Theorem:** \mathcal{K} is $\text{PC}_{\kappa, 2^{\kappa}}$.

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- **Shelah-Vasey:** If $\text{LS}(\mathcal{K}) = \aleph_0$, \mathcal{K} is \aleph_0 -stable and has the \aleph_0 -AP, and $I(\aleph_0, \mathcal{K}) \leq \aleph_0$ then \mathcal{K} is PC_{\aleph_0} .

- **Kueker:** if \mathcal{K} is closed under $\equiv_{\infty, \omega_1}$ -equivalence, L is countable, then there is an $L_{\infty, \omega}$ -sentence axiomatizing \mathcal{K} ,

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- **Shelah, V. (in progress).** A better bound: we reduce the complexity of the sentence to $\mathbb{L}_{(2^\kappa)^+, \kappa^+}$, in the original vocabulary!

2020

Shelah-V.

$$\mathcal{K} = \text{Mod}(\psi_{\mathcal{K}})$$

$$\psi_{\mathcal{K}} \in \mathbb{L}_{\beth_{2(\kappa)^+3}, \kappa^+}$$

in vocabulary \mathcal{L}

2021

Leung

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(The $\omega \cdot \omega$ refers to quantification of an EF game of length $\omega \cdot \omega$)

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better logic,

2020

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in vocabulary \mathbb{L}

2021

Leung

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better logic,

In late 2021, better bound: in

$$\mathbb{L}_{(2^{\kappa})^{+}, \kappa^{+}}$$

better bound, but use of

$$\forall x_0 \exists y_0 \dots \forall x_i \exists x_i \dots, i < \omega \cdot \omega$$

2020

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$\mathcal{K} = \text{Mod}(\psi_{\mathcal{K}})$

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INFINITARY LOGICS AND ABSTRACT ELEMENTARY CLASSES

SAHARON SHELAH AND ANDRÉS VILLAVECES

(Communicated by Heike Mildenberger)

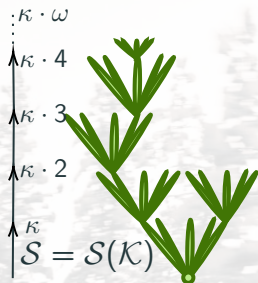
ABSTRACT. We prove that every abstract elementary class (a.e.c.) \mathcal{K} of Löwenheim–Skolem–Tarski (LST) number κ and vocabulary τ of cardinality $\leq \kappa$ can be axiomatized in the logic $\mathbb{L}_{\beth_2(\kappa)^{++}, \kappa+}(\tau)$. An a.e.c. \mathcal{K} in vocabulary τ is therefore an EC class in this logic, rather than merely a PC class. This constitutes a major improvement on the level of definability previously achieved by the Presentation Theorem. As part of our proof, we define the canonical tree $\mathcal{S} = \mathcal{S}_{\mathcal{K}}$ of an a.e.c. \mathcal{K} . This turns out to be an interesting combinatorial object of the class, beyond the aim of our theorem. Furthermore, we establish a connection between the sentences defining an a.e.c. and the relatively infinitary logic L_{λ}^1 .

INTRODUCTION

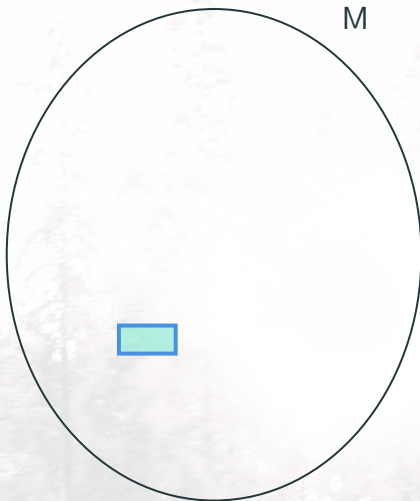
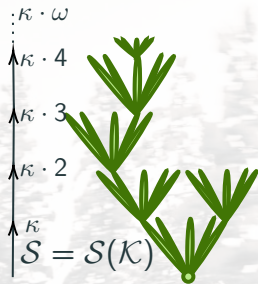
Given an abstract elementary class (a.e.c.) \mathcal{K} , in vocabulary τ of cardinality $\leq \kappa$, we prove the two following results:

- We provide an infinitary sentence in the same vocabulary τ

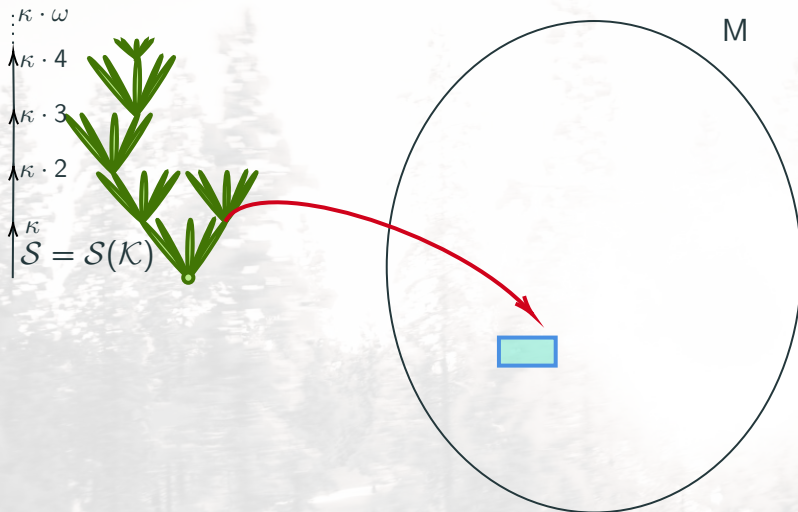
The mezcál test - Does $M \in \mathcal{K}$?



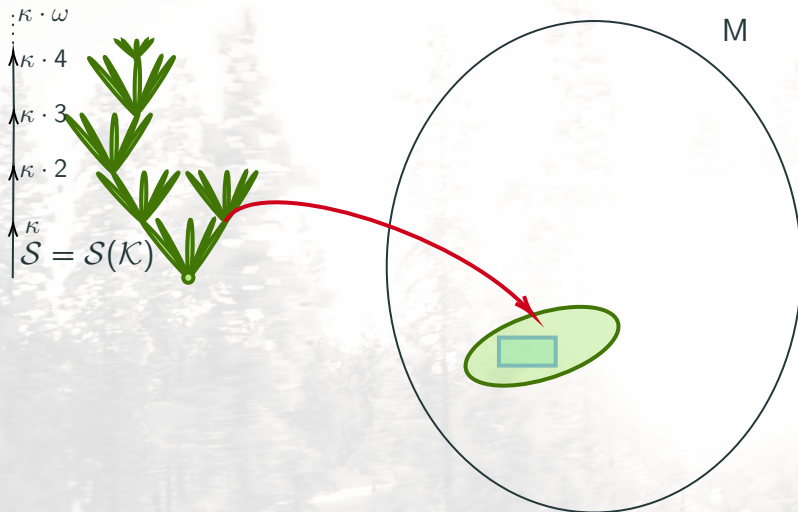
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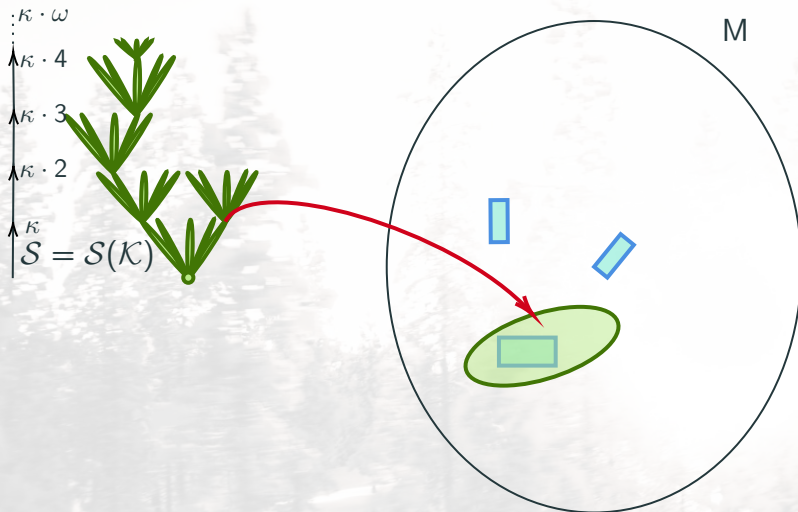
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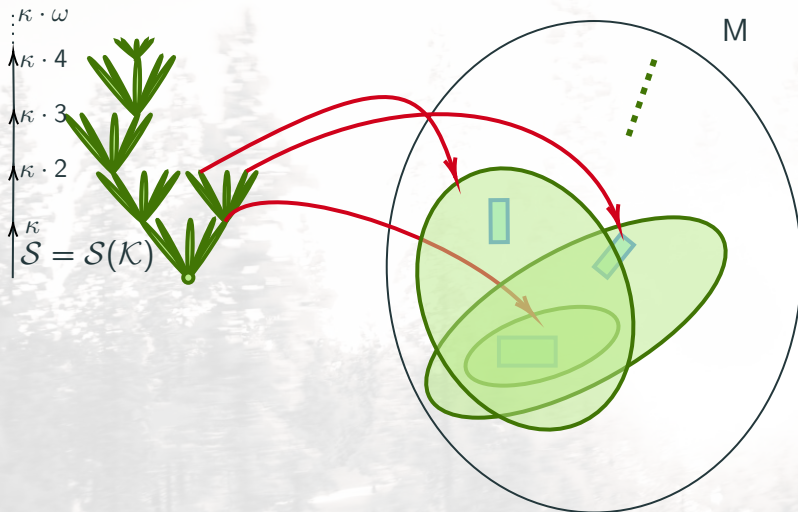
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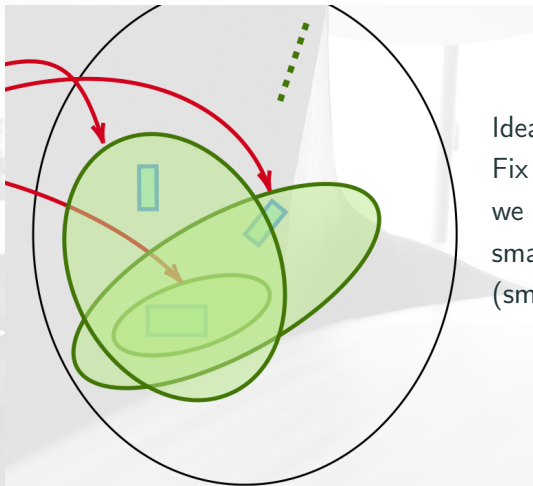


The mezcal test - Does $M \in \mathcal{K}$?



The mezcal test - Does $M \in \mathcal{K}$?





Idea of our axiomatization:
Fix an L-structure M . How can
we realize M as a **direct limit** of
small models $N \in \mathcal{K}$?
(small = size $\kappa = \text{LS}(\mathcal{K})$)

Realizing an arbitrary model as a limit

$$M = \lim\{N \subseteq M \mid N \in \mathcal{K}\}???$$

(Of course, we need a lot of constraints!)

Towards this goal

We use the **canonical tree** of \mathcal{K} : models of size $\kappa = \text{LS}(\mathcal{K})$, with universes

$$\kappa, \kappa + \kappa, \kappa + \kappa + \kappa, \dots$$

and a whole “system of $\prec_{\mathcal{K}}$ -elementary embeddings” between those models:

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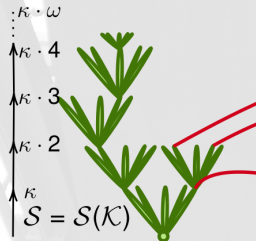
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$\mathcal{S}_{\mathcal{K}}$: the **canonical tree** of \mathcal{K} .

In $\mathcal{S}_{\mathcal{K}}$, $N_1 \triangleleft N_2$ iff $N_1 \prec_{\mathcal{K}} N_2$.

THE MEZCAL TEST - DC



We now use syntax to...

...to “test” the model M - the test
membership in \mathcal{K}

M must “pass” $\beth_2(\kappa)^{++} + 2$ tests (in 2020), or
just $\alpha < (2^\kappa)^+$ tests (in 2021)

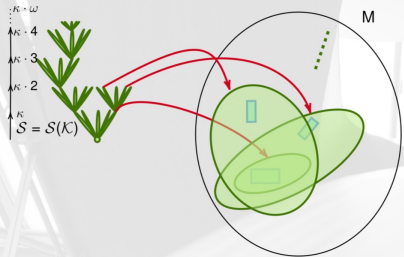
$$\frac{I_2(\kappa)^{++} + 2}{(2020)} \quad \Bigg/ \quad \frac{\alpha < (2^\kappa)^+}{(2021)}$$

Sentences
"approximating" \mathcal{K} :

$$\varphi_{0,0} = \top$$

$\varphi_{1,0}$ iterate the "test"
:
:
 $\varphi_{4,0}$ against the tree \mathcal{S}_κ
:
:

THE MEZCAL TEST - DOES $M \in \mathcal{K}$?



FORMULAS $\varphi_{M,\gamma,n}(\bar{x}_n)$

For M in the canonical tree \mathcal{S} at level n , a formula with $\kappa \cdot n$ free variables, defined by induction on γ .

► $\gamma = 0$: $\varphi_{0,0} = \top$ ("truth"). If $n > 0$,

$$\varphi_{M,0,n} := \bigwedge \text{Diag}_n^0(M),$$

the atomic diagram of M in $\kappa \cdot n$ variables.

► γ limit: Then

$$\varphi_{M,\gamma,n}(\bar{x}_n) := \bigwedge_{\beta < \gamma} \varphi_{M,\beta,n}(\bar{x}_n).$$

► $\gamma = \beta + 1$: Then $\varphi_{M,\gamma,n}(\bar{x}_n)$ is the $L_{\lambda^+, \kappa^+}(\tau)$ formula

$$\forall \bar{z}_{[n]} \bigvee_{\substack{N \succ_{\kappa^+ M} \\ N \in \mathcal{S}_{n+1}}} \exists \bar{x}_n \left[\varphi_{N,\beta,n+1}(\bar{x}_{n+1}) \wedge \bigwedge_{\alpha < \alpha_n[N]} \bigvee_{\delta \in [N]} z_\alpha = x_\delta \right]$$

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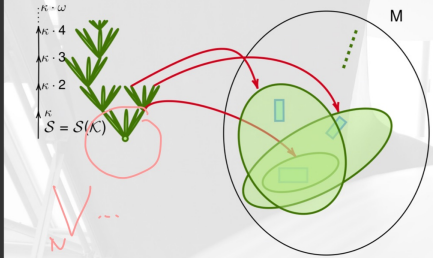
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$$\forall \bar{z}_{[\kappa]} \bigvee_{\substack{N \prec_{\gamma} M \\ N \in S_{n+1}}} \exists \bar{x}_{=n} \left[\varphi_{N,\beta,n+1}(\bar{x}_{n+1}) \wedge \bigwedge_{\alpha < \alpha_n[N]} \bigvee_{\delta \in S[N]} z_{\alpha} = x_{\delta} \right]$$

THE MEZCAL TEST - DOES $M \in \mathcal{K}$?



$$\varphi_{1,0}: \bigvee_{\text{size } \kappa} z \bigvee_{N \in \mathcal{S}_1} \left[\underbrace{\varphi_{N,0,1}(\bar{x}_1)}_{\text{a copy of } N} \wedge \underbrace{\bigwedge_{\alpha < \kappa} \bigvee_{\delta < \kappa} z_{\alpha} = x_{\delta}}_{\text{the copy covers } z} \right]$$

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- $\gamma = 0$: $\varphi_{0,0} = \top$ ("truth"). If $n > 0$,

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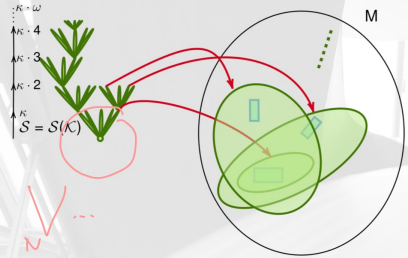
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$$\forall \bar{z}_{[\kappa]} \bigvee_{\substack{N \in \mathcal{K} \\ N \subseteq S_{n+1}}} \exists \bar{x}_{=n} \left[\varphi_{N,\beta,n+1}(\bar{x}_{n+1}) \wedge \bigwedge_{\alpha < \alpha_n[N]} \bigvee_{\delta \in S[N]} z_\alpha = x_\delta \right]$$

THE MEZCAL TEST - DOES $M \in \mathcal{K}$?



$$\varphi_{1,0} : \forall z \bigvee_{N \in \mathcal{K}_1} \exists \bar{x}_1 \left[\underbrace{\varphi_{N,0,1}(\bar{x}_1)}_{\text{"copy of } N"} \wedge \underbrace{\bigwedge_{\alpha < \kappa} \bigvee_{\delta < \kappa} z_\alpha = x_\delta}_{\text{covers } z} \right]$$

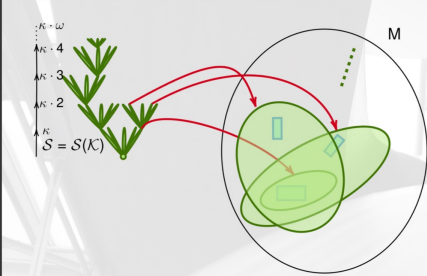
$M \models \varphi_{1,0}$ if it may be "covered" by levels $N \in \mathcal{K}$, of size κ

$$\varphi_{1,0}: \forall z \bigvee_{N \in \mathcal{S}_1} \exists \bar{x}_1 \left[\underbrace{\varphi_{|N|,0,1}(\bar{x}_1)}_{\text{version of } N} \wedge \underbrace{\bigwedge_{\alpha < \kappa} \bigvee_{z_\alpha = x_\alpha}}_{\text{covers } z} \right]$$

\uparrow size κ \uparrow version of N covers z

" \mathcal{S}_1 covers M "

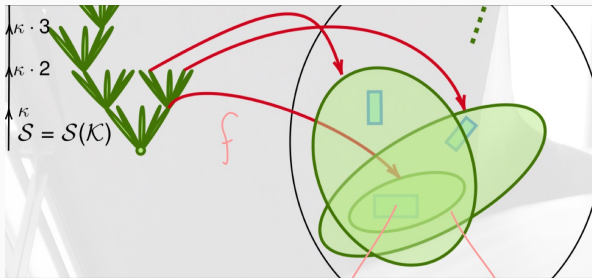
THE MEZCAL TEST - DOES $M \in \mathcal{K}$?



$$M \models \varphi_{2,0} = \forall z \bigvee_{N \in \mathcal{S}_1} \exists \bar{x}_2 \left[\underbrace{\varphi_{|N|,1,2}(\bar{x}_2)}_{\text{?}} \wedge \text{"} z \in \bar{x}_2 \text{"} \right]$$

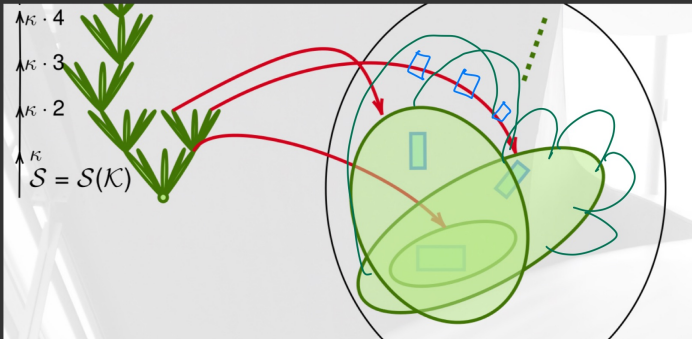
Covers
and
then
covers

$$\forall z \bigvee_{N \in \mathcal{S}_1} \exists \bar{x}_2 \left[\forall z' \bigvee_{\substack{N'_1 \geq N \\ N'_1 \in \mathcal{S}_2}} \exists \bar{x}_1 \left[(\bar{x}_2 \hat{\cap} \bar{x}_1) \wedge z' \in \bar{x}_2 \hat{\cap} \bar{x}_1 \right] \wedge z \in \bar{x}_2 \right]$$



covering
notions,
refining...

$$\varphi_{2,0} \left[\begin{array}{l} \forall z \text{ some } N \in \mathcal{B}_1 \text{ covers } z \text{ (via } f) \\ \forall z' \text{ some } N' \in \mathcal{B}_2 \text{ } N' \geq_{\kappa} N \text{ covers } z' \end{array} \right]$$



$\varphi_{3,0}$: better cover yet ...

Problem: M is big !

As this way of covering may be insufficient, we iterate transfinitely:

$$M \models \varphi_{w+1,0}$$

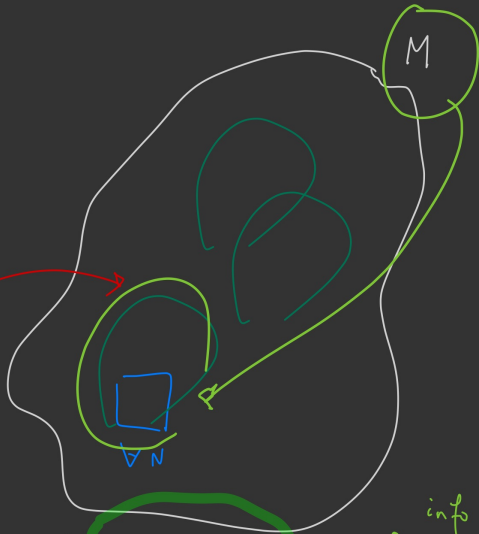
$$\bigwedge_{N \in \mathcal{I}_1} \bigvee \text{covers } N$$

BUT

$$M \models$$

$$\varphi_{w,N,1}(\dots)$$

info of depth w



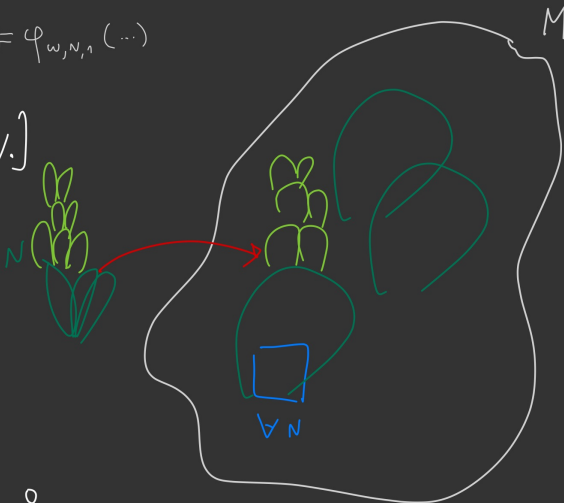
$\forall N \bigvee_{N \in \mathcal{I}_1} \text{Cov. } N \text{ BUT } M \models \varphi_{w, N, 1}(\dots)$

Theorem [Shelah, V.]

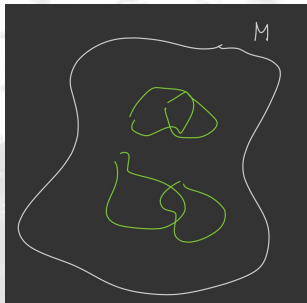
$M \in \mathcal{K}$



$M \models \varphi_{\mathcal{I}_2(\kappa)^{++}, 2, 0}$

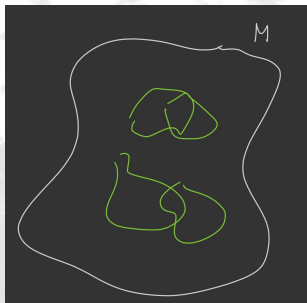


Key Idea



Inside M (because of the sentences $\varphi_{\alpha,0}$ it satisfies), there are “densely” many models of size κ , from the class \mathcal{K} .

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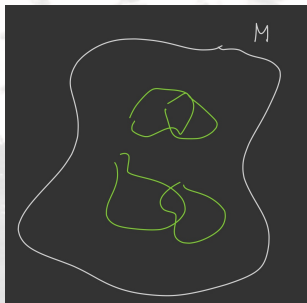


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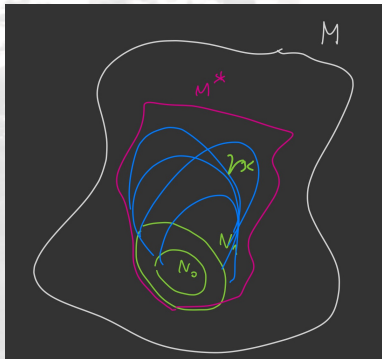
However. . . , as $M \models \varphi_{\exists_2(\kappa)^{++2,0} \dots}$ the system will also turn out to be a $\prec_{\mathcal{K}}$ -directed system!

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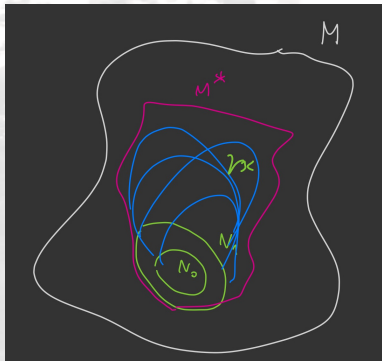


Two combinatorial arguments:

- In 2020, using Komjáth-Shelah's partition relation for well-founded trees.
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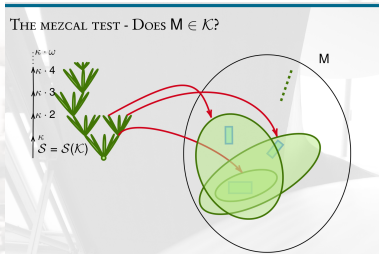


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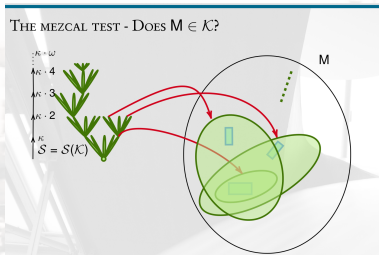
Assuming $N_0 \not\prec_K N_1$, using the tree S_K and the fact that $M \models \varphi_{\alpha,0}$, we build a **tree of models** converging to the same model - by the axioms of AEC's we may conclude that $N_0 \prec_K N_1$!

Steps:



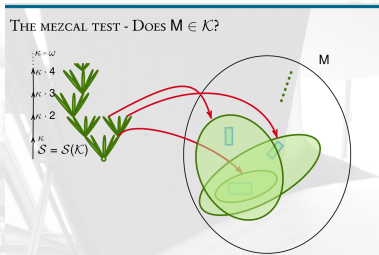
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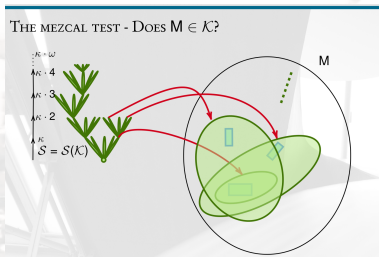
- Build the tree $\mathcal{S}_{\mathcal{K}}$ (ω levels $\kappa \cdot n$, $n < \omega$)
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- Build the tree $\mathcal{S}_{\mathcal{K}}$ (ω levels $\kappa \cdot n$, $n < \omega$)
- Build sentences $\varphi_{0,0}, \varphi_{1,0}, \dots, \varphi_{\alpha,0}, \dots$ capturing ever more “history” of embeddings
- $M \models \varphi_{\alpha,0}$ for α “high enough” implies (by very non-trivial combinatorics) that M is a $\prec_{\mathcal{K}}$ -direct limit of small models from the class \mathcal{K} !

Leung's strategy:



Leung's strategy has similarities, but he replaces the combinatorics by the game quantifier

$$\forall x_0 \exists y_0 \forall x_1 \exists y_1 \dots \forall x_i \exists y_i \dots$$

of length $\omega \cdot \omega$.

New Issues:

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- The axiomatization shows new aspects of the AEC \mathcal{K} , such as:
- **Well-tuned** complexity of \mathcal{K} ,
- Connections with categoricity and stability (NIP),
- Logical properties controlling $\psi_{\mathcal{K}}$,
- Behaviour of $\prod_{i \in I} \mathfrak{A}_i / \mathcal{U}$ in terms of the logic,
- Bi-interpretability in AECs (Galois theory),
- \mathcal{K} 's behaviour in forcing extensions.

Axiomatizing the un-axiomatized

Why so much stability theory in AECs?

Axiomatizing AECs: attempts old and new

On the Internal Logic of an AEC

The Internal Logic of an AEC

A natural project: finding the internal logic of an AEC. On the face of it, it would seem that an AEC is about a generalized **sentence**, not about a logic per se. However, the fact they support so many constructions from stability theory (towers of models, structural control by [Galois] types, type omission, minimal pairs, stability spectrum, canonical forking notions for stable AECs, group configuration, etc.) raises the question of finding the natural internal logic of the AEC.

We have now embarked on this large scale project.

Two Internal Logics of an AEC

$$\mathbb{L}_{\mathcal{K}}^{1,\text{aec}} < \mathbb{L}_{\mathcal{K}}^{2,\text{aec}}$$

The two logics

$$\mathbb{L}_{\mathcal{K}}^{1,\text{aec}} < \mathbb{L}_{\mathcal{K}}^{2,\text{aec}}$$

$\psi_{\mathcal{K}} \in \mathbb{L}_{\mathcal{K}}^{1,\text{aec}}$,
fragment of $\mathbb{L}_{(2^{\kappa})^{+}, \kappa^{+}}$ containing
 $\psi_{\mathcal{K}}$ (Shelah-V. 2021)

$\psi_{\mathcal{K}} \in \mathbb{L}_{\mathcal{K}}^{2,\text{aec}}$,
second order interpretability of \mathcal{K}
(Shelah-V. in progress)

We close $\mathbb{L}_{(2^\kappa)^+, \omega}$ under $\forall x, \exists x, \bigwedge_{i < 2^\kappa} \psi_i, \neg$ and $\psi_{\mathcal{K}}$.

This can very easily define well-ordering!

(“Non-well orders” form an AEC, of very low “Scott rank”, in a natural way!)

For some classes \mathcal{K} , the complexity can be extremely high: an AEC may “simulate” Ehrenfeucht-Fraïssé games of arbitrarily high complexity!

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Other possibilities:

- Removing \neg from $\mathbb{L}_{\mathcal{K}}^{1,\text{aec}}$?
- Comparing/adapting \mathbb{L}_{κ}^1 ?
- Developing stability theory for \mathbb{L}_{κ}^1 ?
- Transfer stability theory to $\mathbb{L}_{\mathcal{K}}^{1,\text{aec}}$?
- Omitting Types for these logics ?

Thanks! Kiitos paljon!

