

# Around dependent abstract elementary classes

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Andrés Villaveces - *Universidad Nacional de Colombia - Bogotá*

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## Valéry - on being in the Ocean

*I seem to find myself again when I enter this universal water. I have nothing to do with harvests, with labors; there is nothing for me in the Georgics. ... I embrace the water with open arms, I love it, possess it, engender with it a thousand strange ideas. Now/In it/, I am the man I want to be. (...) I am drunk on my senses.*

Paul Valéry - Cahiers (tr. N. Rudavsky-Brody)

# Three eras

The Past: A (Rough) Overview of Stability Theory in AECs

The Present: Focus on Dependent AECs; some results

The Now Unfolding Future: new directions

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Slow start - Terra Incognita

The past two decades: Acceleration

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Paradigms of Dependence (FO and AEC)

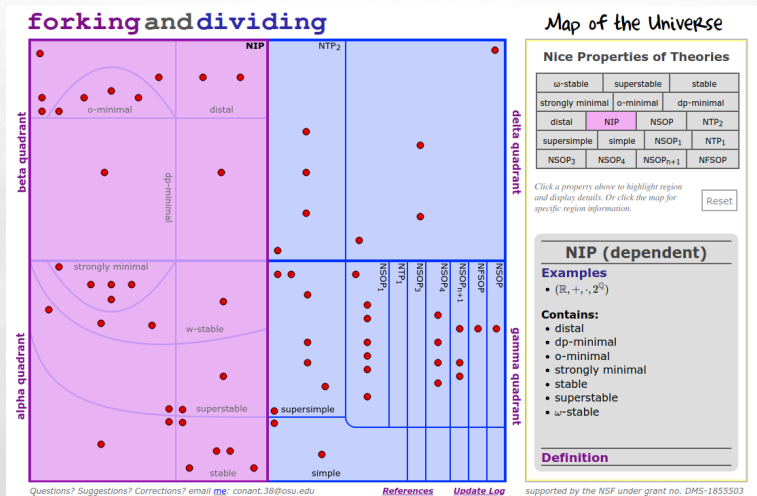
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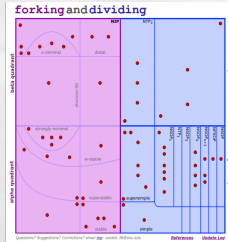
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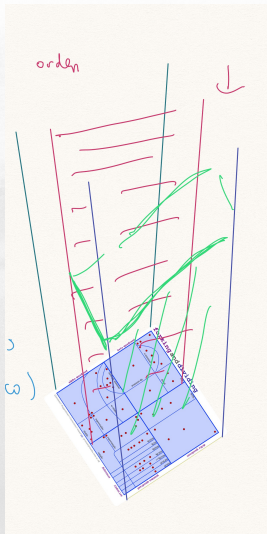
# The “outer layer of a well-known map” ?!?



The Conant map (again)



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- Kolman-Shelah, under measurable cardinals: weaker hypotheses, weaker results but **more** adaptable to pure model-theoretic frameworks,
- Still very pale map outside First Order back then, but beginning of definitions and strong theorems

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- **Superstability and Limit Models** - the role of **splitting** (Grossberg-VanDieren-V. 2010, Vasey, Boney, VanDieren, later),
- **Simple** AECs started (Hirvonen-Hyttinen under strong hypotheses, much later studied under tameness hypotheses by Grossberg and Mazari [2020])



# The New Century: new connections

- 2001: the Zilber school: arithmetic geometry studied under the lens of Abstract Elementary Classes (really, axiomatized in  $L_{\omega_1\omega}$  or under “quasiminimality hypotheses”): (pseudo)-exponential covers, modular forms and functions (the most famous of these analyses for the so-called  $j$ -mapping

$$j : \mathbb{H} \rightarrow \mathbb{C},$$

transferring the group action structure  $\mathbb{H} \setminus \Gamma$  (of Fuchsian groups, e.g.  $\Gamma = SL_2(\mathbb{Q})$ ) on (a structure  $H$  elementarily equivalent to)  $\mathbb{H}$ , to the field structure of a model of  $ACF_0$  such as  $(\mathbb{C}, +, \cdot, 0, 1)$ ,

- 2021: Mazari-Armida: model theory of modules: AECs of module structures,

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# The dependent area

In FO, three important paradigms:

- **Bounded alternation**: a formula  $\varphi(x, y)$  is dependent if whenever  $(b_i)_{i < \omega}$  is an infinite (ind.) sequence, given  $a$ , the sequence  $\varphi^\ell(a, b_i)$  alternates only finitely many times (between  $\varphi$  and  $\neg\varphi$ ).

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- **Generic Pair Conjecture:** fix  $\lambda$  with  $\lambda^+ = 2^\lambda$  and  $\lambda^{<\lambda} = \lambda$ .  $T$  is dependent iff for each saturated  $M \models T$  of cardinality  $\lambda^+$ , for each continuous increasing chain  $(M_\alpha)_{\alpha < \lambda^+}$  of elementary submodels of  $M$  of cardinality  $\lambda$ , there is some club  $E \subseteq \lambda^+$  such that for each  $\alpha_1 < \beta_1, \alpha_2 < \beta_2$  from  $E \cap S_\lambda^{\lambda^+}$ ,

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- **Recounting types.**

# First Line: The Generic Pair Conjecture

Studying originally a **spectrum of existence** question (Under categoricity at three successor cardinals  $\kappa, \kappa^+, \kappa^{++}$ , get the existence of a model in cardinality  $\kappa^{+++}$ ), with Grossberg and VanDieren, we pinned down

- A first definition of “dependent AECs”: paradigm 2 recast  
Let  $(\mathcal{K}, \prec_{\mathcal{K}})$  be an A.E.C, let  $M^* \in \mathcal{K}_{\lambda^+}$  be a universal and homogeneous model, for some  $\lambda$  with  $\lambda^+ = 2^\lambda$  and  $\lambda^{<\lambda} = \lambda$ .  
 $\mathcal{K}$  is gp-dependent at  $\lambda$  iff for each  $M^*$  as above, each continuous increasing chain  $(M_\alpha)_{\alpha < \lambda^+}$  of  $\prec_{\mathcal{K}}$ -elementary submodels of  $M$  of cardinality  $\lambda$ , there is some club  $E \subseteq \lambda^+$  such that for each  $\alpha_1 < \beta_1, \alpha_2 < \beta_2$  from  $E \cap S_\lambda^{\lambda^+}$ ,

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## First Line: the GPC - independence notions

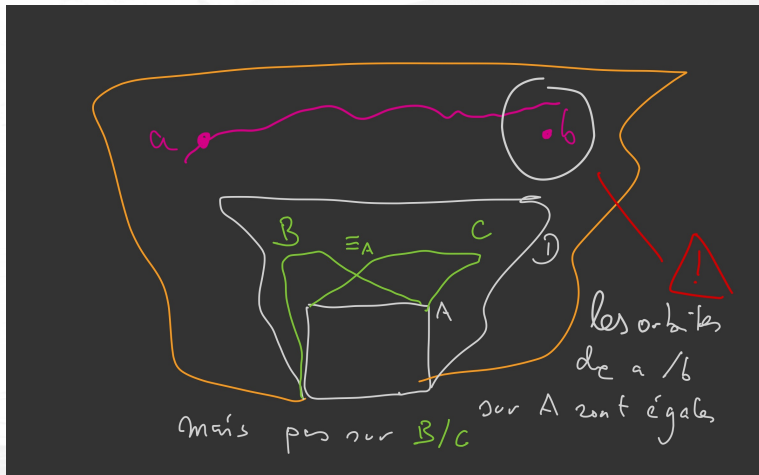
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- A new notion of independence (Kaplan and Shelah proved later that in First Order this notion corresponds to splitting under stability) - paradigm  $\exists p \in S(N)$  **splinters** over  $M \prec N$  iff the notion  $\varphi(x, a) \in p$  is a property of the **conjugacy class** of  $\text{tp}(a/M)$ ...
- This notion captures interesting properties both in FO theories and in AECs!



## A picture of splitting/splintering



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## Now Happening: New Directions

Paradigm 1, bounded alternation, in many ways the most natural, has been the most difficult to adapt. However, in joint work with Shelah, we have the following results.

- New variants of dependence, not based on paradigm 2, but on the more basic paradigm 1. Examples!
- Extraction of indiscernibles under dependence (improving Kaplan-Lavi-Shelah who have a result for “Homogeneous Diagrams”).

Difficult and open:


- Comparison of paradigms 1 and 2.
- Insertion of paradigm 3.
- Genuinely AEC examples of dependence.
- Type decomposition (stable + order/tree).

## A related project, with Nájár

Nájár has brought to the center of this work new possibilities, by using my own result with Shelah from 2021 on an originally completely unrelated subject: definability of **arbitrary** AECs

This gives a much tighter grip on:

- Definability INSIDE an AEC, study of conjugates (recounting types)
- Paradigm 1 revisited.

An aerial photograph of a coastline. The ocean is a deep blue-grey, with white foam from breaking waves visible along the shore. The beach is a light tan color, and the water's edge is irregular, with several small inlets and points. The overall scene is captured from a high angle, looking down at the water and land.

Thank you for your attention!